

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the **Office of Public Records** in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. **Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.**

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Name of Traveler: Catharine (Katie) Bailey

Employing Office/Committee: Senator Mike Braun

Travel Expenses Paid by (List all sources): Big Sky Labor and Employment Institute

Travel Date(s): August 3-5, 2022

Description/Title of Attached Forms:

On March 1, 2023, Dominique Mortimer wrote me to say OPR needed a copy of RE-1 and the private sponsor travel certification form in connection to a trip I took in August of 2022. These forms are enclosed.

Purpose of Amendment (describe the reason for amending original submission):

Same as above.

4/25/2023

(Date)

Catharine (Katie) Bailey
(Signature of Traveler)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Date/Time Stamp:

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Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Catharine (Katie) Bailey

Employing Office/Committee: Senator Mike Braun

Private Sponsor(s) (list all): Big Sky Labor and Employment Institute

Travel date(s): August 3 - 5, 2022

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Big Sky, Montana

Explain how this trip is specifically connected to the traveler's official or representational duties:

Senator Braun serves as the Ranking Member of the Employment and Workplace Safety Subcommittee at the Senate HELP Committee. This particular conference brings together employer and labor leaders from around the country to focus on pressing issues of the day. They have asked me to speak to the movement of labor policy in the 117th Congress. The agenda features speakers and content that will help me better hone this policy space moving forward.

Name of accompanying family member (if any): NA

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

5/16/22
(Date)

Catharine (Katie) Bailey
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Sen. Mike Braun hereby authorize _____
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

5/16/2022
(Date)

Mike Braun
(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
1. Sponsor(s) of the trip (please list all sponsors): Big Sky Labor and Employment Institute
 2. Description of the trip: Attend and speak at the annual Big Sky Labor and Employment Conference
 3. Dates of travel: August 3, 2022 through August 5, 2022
 4. Place of travel: Big Sky, Montana
 5. Name and title of Senate invitees: Catharine Bailey, Legislative Director, U.S. Senator Mike Braun (R-IN)
 6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
- OR -
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
 7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- AND -
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
 8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
- AND -
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal

except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

- OR -

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

- OR -

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Big Sky Labor and Employment Institute is the sponsor and organizer of the conference. The Institute is the only sponsor of the conference.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Big Sky Labor and Employment is a 501(c)(3) not for profit entity. The sole purpose of the Institute is to hold an annual conference each August in Big Sky, Montana to bring together influential employer, employee, and neutral leaders to discuss important labor and employment issues.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

N/A

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

This an annual conference and, as noted above, includes substantive discussions of

important labor and employment issues from a national perspective. Speakers, by design, come from

diverse perspectives. The objective of the conference is to find potential solutions.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
☒ Good Faith estimate ☐ Actual Amounts	Roundtrip Shuttle Service \$90 Airfare \$800 Roundtrip	\$279/night	8/4/22 Buffet Breakfast – Good Faith Estimate \$15 and Buffet Lunch – Good Faith Estimate \$25 8/5/22 Buffet Breakfast – Good Faith Estimate \$15	N/A

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

18. The conference was planned and is being held without regard to congressional staff involvement.

19. Reason for selecting the location of the event or trip

Big Sky, Montana is a relaxing and beautiful venue that can facilitate meaningful discussions between speakers and attendees regarding significant labor and employment issues.

20. Name and location of hotel or other lodging facility:

Big Sky Resort, Big Sky, Montana

21. Reason(s) for selecting hotel or other lodging facility:

The Big Sky Resort has excellent meeting facilities to host a group of our size and also can

provide appropriate support staff and food and beverage support for the conference. The

discounted group lodging rate for reimbursement purposes is the same as all other

attendees, and the good faith estimate for the two buffet breakfasts and one buffet lunch

compare favorably to the federal per diem meal rates for Montana.

22. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The expenses for the conference compare favorably to the government roundtrip travel reimbursement rate, particularly for August 2022 travel to Montana. The conference has negotiated discounted roundtrip shuttle service to and from the Bozeman Airport and has negotiated favorable pricing for two breakfasts and one lunch.

23. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

The conference will reimburse all speaker/panelists for their air travel expenses at a coach rate, shuttle service, and also reimburse for lodging expenses while attending the conference.

24. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

25. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

26. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: L. Roger King

Name and Title: Roger King, Executive Director

Name of Organization: The Big Sky Labor and Employment Institute

Address: 1257 E. Brightwater Lane, Boise, ID 83706

Telephone Number: (614) 582-3939

Fax Number: (614) 423-2991

E-mail Address: rking@kinglaborlaw.org

Bailey, Katie (Braun)

From: Mortimer, Dominique (Ethics)
Sent: Wednesday, March 1, 2023 4:08 PM
To: Bailey, Katie (Braun)
Subject: Ethics Follow-up: Privately Sponsored Travel
Attachments: BRAUN-Bailey Big Sky Labor and Employment Institute Travel to Big Sky, MN AUG 3-5, 2022 (RE-1).pdf; private-sponsor-travel-certification-form copy (BIG SKY LABOR AND EMPLOYMENT).docx

Hi Katie,

Hope all is well. I am the Counsel that reviewed your August 2022 trip to Big Sky, MN sponsored by Big Sky Labor and Employment Institute. While reviewing your post-travel submission, we identified that your submission is missing the final, approved versions of (1) your form RE-1 and (2) the private sponsor travel certification form.

When time permits, please resubmit the relevant form RE-1 to the Office of Public Records. I attached the correct version of the relevant documents to this email. You will only need to submit the revised forms. Please let me know if you have any questions. I am happy to hop on a call.

Dominique Mortimer

Counsel

Select Committee on Ethics

220 Hart Building

Washington, DC 20510

(202) 224-2981 (office)

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